

Northeast Texas Community College
Mt. Pleasant, Texas

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Elisa S. Luque, SVP, of Guaranty Bank & Trust, NA, 100 West Arkansas, Mt. Pleasant, Texas 75455. This advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees.

Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements as a means of paying investment services.

Northeast Texas Community College is associated with Northeast Texas Community College Foundation. The Foundation is included in accordance with Statement No. 39 of the Governmental Accounting Standards Board. The outside investment advisor/manager is Elisa S. Luque, SVP, of Guaranty Bank & Trust, NA, 100 West Arkansas, Mt. Pleasant, Texas 75455. The market value of the Foundation's investments as of August 31, 2017 is \$4,503,340.52.